# **RODERICK M. HILLS PAPERS, 1975-2005**

#### **Collection Type**

Federal Records

#### **Extent**

34 linear feet (ca. 68,000 pages)

#### **Record Type**

Textual

#### Access

Processed portions of the collection are open. Some items are temporarily restricted under terms of the donor's deed of gift, a copy of which is available on request, or under National Archives and Records Administration general restrictions (36 CFR 1256). Additional material is currently unprocessed and not available for research.

# Copyright

Roderick Hills donated to the United States of America his copyrights in all of his unpublished writings in National Archives collections. The copyrights to materials written by other individuals or organizations are presumed to remain with them. Works prepared by U.S. Government employees as part of their official duties are in the public domain.

# **Processed by**

Jill Zawacki, December 2008

## **Biography**

Roderick M. Hills

March 9, 1931 - Born in Seattle, WA

1948-52 - Stanford University (B.A.)

1952-55 - Stanford University Law School (LL.B.)

1955-57 - Law Clerk to Justice Stanley Reed of the U.S. Supreme Court

1957-62 - Associate, Musick, Peeler & Garrett law firm, Los Angeles, CA

1958 - Married Carla Anderson Hills, who would go on to serve as Secretary of Housing and Urban Development in the Ford Administration

1962-71 - Founding partner, Munger, Tolles, Hills & Rickershauser, Los Angeles, CA

1969-70 - Visiting Professor, Harvard Law School

1972-75 - Chairman of the Board, Republic Corporation

1975 - Counsel to the President

1975-77 - Chairman, Securities and Exchange Commission

1977-78 - Chairman and Chief Executive Officer, Peabody Coal Company, St. Louis and Washington

1978-- Partner, Latham, Watkins & Hills, Washington, D.C.

1984-- Chairman, Hills Enterprises, Ltd (formerly The Manchester Group)

1985-87 - Distinguished Faculty Fellow and Lecturer, Yale University, School of Organization and Management (International Finance)

1996 - Chairman, Federal-Mogul Corporation, Southfield, MI

1996-- Founder and Partner, Hills and Stern, Attorneys at law

#### **Scope and Content Note**

The Roderick M. Hills Papers, 1975-1990, contain materials from Hills' positions as Counsel to President Gerald R. Ford and as Chairman of the Securities and Exchange Commission (SEC), and his post-governmental career with various companies and law firms.

After working privately in the corporate and law worlds, Roderick Hills assumed the position of Counsel to the President in March 1975. Filling the vacancy left by Philip Areeda (who left in February 1975), Hills served as primary assistant to Philip Buchen, the head of the Counsel's Office under President Ford. Hills, and his successor Edward Schmults, were deeply involved in wide-ranging federal deregulation efforts, each serving as co-chair of the Domestic Council Review Group on Regulatory Reform. Hills also played a large role in the White House response to the Arab boycott of American businesses having dealings with Israel.

Hills provided legal advice to President Ford and members of the White House staff, handled administrative matters, and reviewed documents produced by other White House offices for legal concerns. He gave advice on domestic and foreign policy issues (especially those involving legal questions), constitutional or statutory powers of the President, acceptance of gifts, the Hatch Act, and other political restrictions. Among the administrative matters he handled were conflict of interest questions, standards of conduct, secret service protection authorizations, and approval of White House contacts with independent regulatory agencies. He also reviewed action memoranda, proclamations, executive orders, Civil Aeronautics Board decisions, and personnel appointment memoranda.

In October 1975, Hills left his White House position after being appointed and confirmed as Chairman of the Securities and Exchange Commission.

The Securities and Exchange Commission is the federal agency with primary responsibility to protect investors; maintain fair, orderly, and efficient markets; and facilitate capital formation. As described by its organizational mission, the Commission's main focus is federal laws governing securities, as it is charged with interpreting laws and issuing or amending any rules. The SEC is governed by five commissioners, each of whom is appointed by the President to serve a five-year term. One commissioner is designated by the President as Chairman and serves as executive officer of the agency.

After leaving the SEC in March 1977, Hills served on the executive boards of multiple corporations and continued his legal practice. During this period, Hills established himself as an expert on the economics of alternative energies, federal regulation of businesses, and shareholder communications, while emerging as a leading advocate of effective corporate governance.

#### Scope and Content

The Hills Papers document some aspects of his government service more completely than others. His materials from his time as White House Counsel are less complete than those on the SEC. (Most of the White House materials were left for his successor and can be found in the Edward Schmults Files). Materials in the Hills White House Subject File are sporadic and containing mostly pamphlets and printed government documents, including material on the Aviation Act of 1975 and the Financial Institutions Act of 1975, and a report on the doctrine of Executive Privilege. The largest portion of the Subject File is comprised of telephone logs. His White House Chronological File documents written contact between Hills' and other White House employees with some additional outgoing correspondence. The small Correspondence File contains much that is personal in nature (e.g. congratulatory letters received upon his appointment or letters requesting or recommending other governmental appointments).

Hills' SEC Subject Files contain mostly articles and reports published by various committees and associations, such as the American Bar Association, the American Assembly, and American Society of International Law. An additional portion of the

Subject Files contains clippings of articles on financial and economic issues which extend beyond Hills' tenure with the SEC. The Subject Files does not contain materials that illustrate Hills' duties or accomplishments as SEC Chairman. The SEC Chronological File documents all letters and memoranda out of Hills' office from the beginning to end of his term as Chairman. The majority of this material is comprised of Hills' responses to congratulatory letters and speaking invitations.

Outside of items directly related to Hills' position as Counsel and SEC Chairman, the rest of the material in Hills' papers pertains to speeches and Congressional testimony he delivered based on the positions he held and his legal expertise. Materials in the Testimony File include drafts and transcripts of Hills' own statements regarding issues such as Regulatory Reform, the energy crisis, and alternative fuels; the Speech File includes these topics and others from speeches delivered at governmental and non-governmental functions.

A large portion of the Hills Papers relate to work he performed outside of governmental service. Hills held positions on the executive boards of various companies and continued his private legal practice. The Post-Governmental Subject Files include documentation from cases Hills handled which involved the parties of Drexel Burnham Lambert; Oak Industries/The Manchester Group, Ltd.; Modern Materials, Inc.; and Alexander and Alexander Services. These materials are unprocessed and closed for research.

#### Related Materials (October 2008)

The Edward Schmults Files are very closely tied to Hills' work as White House Counsel. As Hills' successor, Schmults inherited and continued much of his work. Materials relating to the Ford administration's regulatory reform program comprise almost one third of the collection. This series details the activities of the Domestic Council Review Group on Regulatory Reform and also many specific regulatory reform issues they handled. Although regulatory reform materials are in a separate series, occasional items on this topic may also be found in Schmults' general file.

Also related to Hills' work as Counsel are the Bobbie Green Kilberg Files. Kilberg inherited Hills' materials on the Arab boycott of American business that had ties to Israel when Hills vacated his position. Though Kilberg used the materials and acquired her own, Hills' files are maintained as a separate series in this collection.

Hills was interviewed by A. James Reichley in conjunction with the production of Reichley's book Conservatives in an Age of Change: The Nixon and Ford Administrations (Brookings Institution, 1981). Hills' interview covers White House administration, philosophies of the two Presidents and their administrations, relationships among various administration officials, and the roles of the Cabinet and Domestic Council. The interview is contained in the A. James Reichley Interview Transcripts.

L. William Seidman, in his positions as Assistant to the President for Economic Affairs and executive director of the Economic Policy Board (EPB), established a connection with Hills over interactions on various issues. The L. William Seidman Files contain six folders related to Roderick Hills, five of which contain materials from his tenure as Counsel (specifically, on the matters of the Arab Boycott and Airline Regulatory Reform) and one folder linked to the SEC (largely containing memoranda and economic reports).

#### **Last Modified Date**

2024-05-31

## White House Chronological Files, 1975.

(Boxes 1-2, 0.6 linear feet)

Recommendations for appointments, copies of executive orders and amendments, correspondence, memoranda, and agendas.

Arranged alphabetically by subject.

# **Box 1 - White House Chronological File**

Status Title

OPEN 03/18/1975 - 09/15/1975

#### **Box 2 - White House Chronological File**

Status Title

OPEN 09/16/1975 - 10/29/1975

#### White House Correspondence Files, 1975.

(Boxes 2-3, 0.6 linear feet)

Congratulatory letters, memoranda, and correspondence. The majority of the

materials are incoming to Hills' office. Some correspondence from notable government officials, including Anne Armstrong and Senator Edward Kennedy.

Arranged alphabetically by name.

# **Box 2 (Continued) - White House Correspondence File**

Status Title

#### **Box 3 - White House Correspondence File**

Status Title
N - Z

## White House Subject Files, 1975.

(Boxes 4-6, 1.2 linear feet)

**OPEN** 

**OPEN** 

This series contains conference notes, personnel résumés, memoranda, and oral arguments, but the majority is comprised of Hills' White House telephone logs and messages. Some governmental reports, including an evaluation of the doctrine of Executive Privilege following the Watergate scandal.

Arranged alphabetically by subject.

## **Box 4 - White House Subject File**

Status	Title
OPEN	Aviation Act of 1975
OPEN	Clippings
OPEN	Doctrine of Executive Privilege; (1)-(4)
OPEN	Energy Independence Authority
OPEN	Financial Institutions Act of 1975
OPEN	Ford Administration's Efforts to Reform Government Regulation of Business
OPEN	Government-Industry Relationship in Steel
OPEN	Reconstruction Finance Corp.
OPEN	Report on Activities and Organization of Lending Agencies of the Government

Status Title

OPEN Travel, President's Trip to Oklahoma and California, 9/19-

22/75

OPEN Stanford Reunion, 9/26 - 27/1975

OPEN United Kingdom Civil Aviation Authority

#### **Box 5 - White House Subject File**

Status Title

White House Missouri Conference on Domestic and

OPEN Economic Affairs: (1)-(2)

OPEN White House Mess

OPEN White House Personnel File, - Investigation of Confirmation

of Chairmen

OPEN White House Personnel File, - Résumés of Applicants for

**Staff Positions** 

OPEN White House Phone Logs, 03/17/75 - 06/20/75

#### **Box 6 - White House Subject File**

Status Title

OPEN White House Phone Logs, 06/21/75 – 10/28/75

OPEN White House Tennis Team

#### Securities and Exchange Commission Subject Files, 1975-92.

(Boxes 7-10, 1.6 linear feet)

Personnel resumes and recommendations, various SEC reports, clippings, printed materials and conference reports. Included in these materials is a folder of SEC-related items that have been transferred to the Audiovisual department.

Arranged alphabetically by subject.

# **Box 7 - Securities and Exchange Commission Subject File**

Status Title

OPEN American Film Institute Gala, December 1977

ARTICLE: "Gains, Caution in Corporate Governance,"

OPEN Roderick M. Hills, Financier: Journal of Financial Affairs,

June 1978

Status	Title
Jialus	litie

OPEN	ARTICLE: "Where are we on Securities Disclosure after the Advisory Committee Report," Homer Kripke, Journal of Accounting, Auditing, and Finance, Fall 1978
OPEN	Audio-Visual Materials
OPEN	Bi-national Assembly on Mexican-American Relations
OPEN	Carter-Mondale Transition
OPEN	Carter Public Citizens Forum, August 1976
OPEN	Corporate Rights and Responsibilities, Hearings, June 1976
OPEN	Clippings - Post-SEC Tenure, Undated
OPEN	Clippings - Post-SEC Tenure, Jan. 1988 - Oct. 1988
OPEN	Clippings - Post-SEC Tenure, Nov. 1988; (1)-(2)
OPEN	Clippings - Post-SEC Tenure, Dec. 1988 - Apr. 1992
ODEN	Debate, opposing Monroe Freedman, District of Columbia

# Box 8 - Securities and Exchange Commission Subject File Status Title

Bar Association; (1)-(3)

OPEN

OPEN	FDIC, Meeting 12/31/75, New York City
OPEN	Final Report of the SEC, SEC Major Issues Conference, Jan. 1977
OPEN	Foreign Payments; (1)-(2)
OPEN	Foreign Visitors; (A-H)-(I-N)
OPEN	Foreign Visitors, Gifts from
OPEN	Guide to Mergers and Acquisitions, Arthur Andersen & Co.
OPEN	Insider Trading - Bibliographic Information and Clippings
OPEN	Insider Trading - Publication

# Box 9 - Securities and Exchange Commission Subject File Status Title

OPEN	New York Law Journal , Interview with, Dec. 1975
OPEN	Peabody Coal Company, Post-SEC Tenure
OPEN	Personnel, Résumés and Recommendations
OPEN	The Pleasure of His Company , Paul B. Fay, Jr.

Status	Title
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"Redefining Government's Role in the Market System,"

Statement by Research and Policy Committee, Committee

for Economic Development, July 1979

OPEN Report of the SEC on Questionable and Illegal Payments

and Practices, May 1976; (1)-(2)

# Box 10 - Securities and Exchange Commission Subject File Status Title

OPEN	Report of the American Bar Association on Questionable Foreign Payments by Corporations, Mar. 1977; (1)-(2)
OPEN	Report of the Fifty-First American Assembly - Capital Needs of the U.S.: The Next Decade, Oct. 1976
OPEN	Report of Progress to the SEC from the Advisory Committee on Corporate Disclosure, Aug. 1976; (1)-(3)
OPEN	SEC Automatic Data Processing Plan, Dec. 1976
OPEN	"Sensitive Payments Abroad: International and Domestic Aspects," American Society of International Law, Apr. 1977
OPEN	"Transitional Corporations and Developing Countries: New Policies for a Changing Economy," Apr. 1981
OPEN	Travel
OPEN	"Wall Street Week" Television Appearance, Apr. 1976
OPEN	Who's Who in America
OPEN	Who's Who in American Lawyers
OPEN	Yale Business School Student Boycott, 1988

## Securities and Exchange Commission Chronological Files, 1975-77.

(Boxes 11-18, 3.2 linear feet)

**OPEN** 

Correspondence, articles, congratulatory letters, and invitations for speaking engagements. Early materials are mostly congratulatory letters on Hills' new position, while later materials focus more specifically on economics. The majority of the materials are Hills' outgoing responses to others.

Arranged chronologically.

# Box 11 - Securities and Exchange Commission Chronological File

Status Title

OPEN 10/08/75 - 11/10/75

Box 12 - Securities and Exchange Commission Chronological File

Status Title

OPEN 11/11/75 - 01/29/76

Box 13 - Securities and Exchange Commission Chronological File

Status Title

OPEN 02/01/76 - 04/15/76

Box 14 - Securities and Exchange Commission Chronological File

Status Title

OPEN 04/16/76 - 06/15/76

Box 15 - Securities and Exchange Commission Chronological File

Status Title

OPEN 06/16/76 - 08/15/76

Box 16 - Securities and Exchange Commission Chronological File

Status Title

OPEN 08/16/76 - 10/15/76

Box 17 - Securities and Exchange Commission Chronological File

Status Title

OPEN 10/16/76 - 01/31/77

Box 18 - Securities and Exchange Commission Chronological File

Status Title

OPEN 02/01/77 - 04/07/77

Speeches, 1975-90.

(Boxes 19-23, 2.0 linear feet)

Speeches, correspondence, printed materials and conference reports concerning Hills' speeches and trips. The speeches are usually draft reading copies or formal transcripts of Hills' statements concerning economic and finance issues, a large focus of which is the role of federal regulation in the securities industry. Speeches span from Hills' time as SEC Chairman to many years after, when he was still called upon to deliver advice and recommendations due to his economic expertise.

# Arranged chronologically.

# Box 19 - Speeches

Status	Title
OPEN	12/05/75 - A Report from the SEC Security Industry Association Annual Convention
OPEN	01/07/76 - Address to American Institute of Certified Public Accountants (1)-(3)
OPEN	02/17/76 - Democracy and the Planned Economy - Friends of Foes?
OPEN	02/26/76 – Government Regulation and Business - A View from the SEC
OPEN	03/15/76 – Address to the Association of the Bar (New York)
OPEN	04/01/76 - Address to Calvin Bullock Forum
OPEN	04/04/76 – Comments at White House Conference Concerning Regulatory Reform
OPEN	04/11/76 - Address to Association of Reserve City Bankers
OPEN	04/13/76 – "Corporate Morality: Whose Business is it?" (Town Hall)
OPEN	04/20/76 - Public Citizens Forum (Ralph Nader)
OPEN	05/01/76 - Address to Yale Law School
OPEN	05/08/76 - Address to Business Council
OPEN	05/26/76 - Address to Investment Company Institute
OPEN	05/27/76 - Remarks to National Conference on Regulatory Reform
OPEN	06/21/76 - Address to American Enterprise Institute
OPEN	09/20/76 - Address at North American Securities Administrators Association Annual Convention
OPEN	09/30/76 - Address to Investment Association of New York
OPEN	11/05/76 - Remarks at Airlie House
OPEN	11/08/76 - Remarks to the Economic Club of New York
OPEN	11/11/76 – "Investment Company Board: Myth vs. Reality," ALI-ABA

# **Box 20 - Speeches**

Box 20 - Speecnes	
Status	Title
OPEN	11/19/76 - Address to Federal Bar Association Workshop
0. 2	on Administrative Law
OPEN	11/23/76 - Address to Harvard Business School
OPEN	12/01/76 - Address to Securities Industries Association
OPEN	1977 - "Coal and Collective Bargaining: Thoughts After the Settlement," Peabody Coal Company
OPEN	01/14/77 - Remarks at SEC Major Issues Conference
OPEN	02/12/77 - Address to Morgan Guaranty Trust Company
OPEN	03/04/77 - Address to Practising Law Institute
OPEN	12/09/77 – Peabody Coal KMOX Radio
	07/18/78 - "A Proposed Path Through Crowded Waters,"
OPEN	SEC Investigation of Foreign Payments by American
	Companies; (1)-(3)
D 21 C I	
Box 21 - Speeches	
Status	Title
<del>-</del>	<b>Title</b> 09/13/78 – National Forum on Emerging Issues Affective U.S. Corporations, Public Affairs Council; (1)-(2)
Status	09/13/78 - National Forum on Emerging Issues Affective
Status OPEN	09/13/78 – National Forum on Emerging Issues Affective U.S. Corporations, Public Affairs Council; (1)-(2) 10/20/78 – National Association of Manufacturers, Board of
Status  OPEN  OPEN	09/13/78 – National Forum on Emerging Issues Affective U.S. Corporations, Public Affairs Council; (1)-(2) 10/20/78 – National Association of Manufacturers, Board of Directors Meeting
Status  OPEN  OPEN  OPEN	09/13/78 - National Forum on Emerging Issues Affective U.S. Corporations, Public Affairs Council; (1)-(2) 10/20/78 - National Association of Manufacturers, Board of Directors Meeting 11/20/78 - Comstock Club
Status  OPEN  OPEN  OPEN  OPEN  OPEN  OPEN	09/13/78 - National Forum on Emerging Issues Affective U.S. Corporations, Public Affairs Council; (1)-(2) 10/20/78 - National Association of Manufacturers, Board of Directors Meeting 11/20/78 - Comstock Club 05/02/79 - Chicago Corporate Responsibility Group
Status  OPEN  OPEN  OPEN  OPEN	09/13/78 - National Forum on Emerging Issues Affective U.S. Corporations, Public Affairs Council; (1)-(2) 10/20/78 - National Association of Manufacturers, Board of Directors Meeting 11/20/78 - Comstock Club 05/02/79 - Chicago Corporate Responsibility Group 05/29/79 - American Society of Corporate Secretaries
Status  OPEN  OPEN  OPEN  OPEN  OPEN  OPEN  OPEN	09/13/78 - National Forum on Emerging Issues Affective U.S. Corporations, Public Affairs Council; (1)-(2) 10/20/78 - National Association of Manufacturers, Board of Directors Meeting 11/20/78 - Comstock Club 05/02/79 - Chicago Corporate Responsibility Group 05/29/79 - American Society of Corporate Secretaries 09/14/79 - "Corporate Governance: Bane or Boon?",
Status  OPEN  OPEN  OPEN  OPEN  OPEN  OPEN	09/13/78 - National Forum on Emerging Issues Affective U.S. Corporations, Public Affairs Council; (1)-(2) 10/20/78 - National Association of Manufacturers, Board of Directors Meeting 11/20/78 - Comstock Club 05/02/79 - Chicago Corporate Responsibility Group 05/29/79 - American Society of Corporate Secretaries 09/14/79 - "Corporate Governance: Bane or Boon?", Business-Government Relations Council; (1)-(2)
Status  OPEN  OPEN  OPEN  OPEN  OPEN  OPEN  OPEN	09/13/78 - National Forum on Emerging Issues Affective U.S. Corporations, Public Affairs Council; (1)-(2) 10/20/78 - National Association of Manufacturers, Board of Directors Meeting 11/20/78 - Comstock Club 05/02/79 - Chicago Corporate Responsibility Group 05/29/79 - American Society of Corporate Secretaries 09/14/79 - "Corporate Governance: Bane or Boon?", Business-Government Relations Council; (1)-(2) 03/20/80 - "Perspectives on Synfuels Developments: Roles

## Box 22 - Speecnes

Status	Title
OPEN	04/23/80 - "Energy Crisis: Is There An Alternative?", Phi
	Beta Kappa Association

Status	Title
OPEN	04/29/80 – "Redefining Board Responsibilities as a Result of Increasing Government Intervention"
OPEN	10/1980 - Young President's Organization, Puerto Rico
OPEN	10/01/80 - "The Responsibilities of Boards and Increasing Government Intervention" (1)-(2)
OPEN	11/18/80 - "Regulatory Reform: Substance or Slogan?", Conference on Government Regulation of Business
OPEN	11/08/82 - Address at 1982 Annual Awards Ceremony of the SEC
OPEN	01/12/83 - Remarks at Sears Roebuck Event
OPEN	11/16/83 – Remarks to Operation Opportunity, sponsored by U.S. Chamber of Commerce
OPEN	01/20/84 - Remarks at Trade Net Conference
OPEN	02/28/84 - Remarks to Sears Retirees
Box 23 - Speeches	
Status	Title
OPEN	09/1984 - Presentation to Board of Directors, New York Stock Exchange
OPEN	03/07/85 - Address to Antitrust Conference Board
OPEN	09/28/89 – "A World Economy – An Historical Perspective: May 1, 1975 – The Big Bang - What Next?", Bank Indosuez Conference
OPEN	10/07/89 - "Corporate Governance - The Dissenting Shareholder or the Independent Director - Who's in Charge?", American Society of Corporate Secretaries 11/02/89 - "Bolstering the Role and Accountability of the

OPEN 11/09/89 – "Activism in the Boardroom," National Investor

Relations Institute (NIRI)

Semi-Annual Meeting (1)-(2)

OPEN

OPEN 12/04/90 – "Management's Responsibility and the New

Integral Control Reporting Requirements"

Independent Director," Council of Institutional Investors

## Congressional Testimony, 1975-1989.

(Boxes 24-27, 1.4 linear feet)

Speeches, statements, correspondence, printed materials and reports concerning Hills' appearances to testify before the U.S. Congress. Testimony is usually formal transcripts of Hills' statements but certain folders contain multiple draft copies with annotations as well as items Hills referenced when composing his statements. The majority of Hills' testimony concerns economic issues, the largest portions of which focus on corporate disclosure in the securities industry and the reform of federal economic monitoring and regulation programs.

Arranged chronologically by date of appearance.

**Box 24 - Congressional Testimony** 

	Status	Title
OPEN		11/12/1975 – "Government in the Sunshine;" before Government Information and Individual Rights Subcommittee; House Committee on Government Operations (1)-(3)
OPEN		12/09/1975 - Hearings on Securities Activities of Commercial Banks; before Subcommittee on Securities; Senate Committee on Banking, Housing, and Urban Affairs (1)-(3)
OPEN		01/14/1976 - Statement on "Abuses of Corporate Power, Bribes, Kickbacks, Political Contributions, and Other Improper Payments;" before Subcommittee on Priorities and Economy in the Government; Joint Economic Committee
OPEN		02/05/1976 – Statements on "Competitive Improvements Act of 1975;" Subcommittee on Antitrust and Monopoly; Senate Judiciary Committee
OPEN		02/24/1976 - Hearings on S. 2629, "The Municipal Securities Full Disclosure Act of 1976," and S. 2524; Subcommittee on Securities; Senate Committee on Banking, Housing, and Urban Affairs

Status	Title
Status	litie

OPEN

OPEN

OPEN

O3/03/1976 - Investigation into Allegations of Lockheed

Aircraft Corporation's Undisclosed Improper or Illegal

Foreign Activities, Senate Committee on Banking Housing

and Urban Affairs

# **Box 25 - Congressional Testimony**

Status	Title
OPEN	03/18/1976 - Statements; Subcommittee on State, Justice, and Commerce; House, Judiciary, and Related Agencies Committee on Appropriations
OPEN	04/29/1976 - Democratic Research Organization's Committee to Investigate a Balanced Federal Budget
OPEN	05/18/1976 - Statement during Hearings on "Prohibiting Bribes to Foreign Officials;" Senate Committee on Banking, Housing, and Urban Affairs
OPEN	05/20/1976 - Testimony on H.R. 12981; Subcommittee on Consumer Protection and Finance; House Committee on Interstate and Foreign Commerce
OPEN	05/20/1976 - Testimony on Regulatory Reform, Subcommittee on Oversight and Investigations; House Committee on Interstate and Foreign Commerce (1)-(3)
OPEN	05/25/1976 - Statement on Proposals for Improving Congressional Oversight of the Federal Regulatory Agencies; Senate Committee on Government Operations
OPEN	06/03/1976 - Testimony on S. 2387 'The Petroleum Industry Competition Act of 1976;" Senate Judiciary Committee
OPEN	06/09/1976 - Testimony on Corporate Participation in Boycotts; Commerce Consumer and Monetary Affairs Subcommittee; Senate Committee on Government Operations
OPEN	06/21/1976 - Testimony on "Corporate Rights and Responsibilities;" Senate Commerce Committee

Sta	atus	Title
OPEN		06/28/1976 - Statements on Voluntary Corporate Disclosure of Questionable and Illegal Foreign Payments and Practices; Commerce Consumer and Monetary Affairs Subcommittee; Senate Committee on Government Operations
OPEN		08/06/1976 - Statements relating to Securities Activities of Commercial Banks; Subcommittee on Securities; Senate Committee on Banking Housing and Urban Affairs
OPEN		09/21/1976 - Statement on H.R.15481; Subcommittee on Consumer Protection and Finance; House Committee on Interstate and Foreign Commerce
OPEN		03/10/1977 – Hearings on H.R. 3721 and H.R. 3722; Subcommittee on Consumer Protection and Finance
OPEN		03/16/1977 - Statements on S. 305 "Foreign Corrupt Practices Act of 1977" and "Domestic and Foreign Investment Improved Disclosure Act of 1977;" Senate Committee on Banking Housing and Urban Affairs
OPEN		08/15/1978 - Testimony at ERISA Hearings on S. 3017 and Other Bills; Senate Human Resources Labor Subcommittee and Finance Pension Plans Subcommittee; Senate Human Resources Committee

# **Box 26 - Congressional Testimony**

Status	Title
OPEN	06/25/1979 - Testimony before House Subcommittee for Energy Finance; Committee for Economic Development
OPEN	07/18/1979 - Testimony on S. 1377, Establishing a Synthetic and Alternative Fuels Production Activity; Senate Committee on Governmental Affairs
OPEN	07/26/1979 - Testimony on S. 932 (Omnibus Solar Energy Commercialization Act of 1979) and S. 1409 (Defense Production Act Amendments of 1979); Senate Committee on Banking Housing and Urban Affairs
OPEN	09/06/1979 - Testimony to Task Force on Synthetic Fuels, Senate Budget Committee

Status	Title
OPEN	09/12/1979 - Testimony on Synthetic Fuel Production, House Committee on Science and Technology
OPEN	06/16/1981 - Amendments to Foreign Corrupt Practices Act of 1977 (S. 708); Subcommittee on Securities and Subcommittee on International Finance and Monetary Policy; Senate Committee on Banking Housing and Urban Affairs (1)-(2)
OPEN	09/16/1981 - Foreign Corrupt Practices Act (FCPA); Subcommittee on Telecommunications, Consumer Protection, and Finance; Senate Committee on Energy and Commerce; (1)-(2)
OPEN	01/28/1987 - Hearings on Corporate Takeovers; Senate Committee on Banking, Housing, and Urban Affairs (1)-(2)

# **Box 27 - Congressional Testimony**

	Status	Title
OPEN		08/02/1989 - Testimony on H.R. 2780 ("Shareholder
		Communications Improvement Act of 1989");
		Subcommittee on Telecommunications and Finance; House
		Committee on Energy and Commerce (1)-(5)
OPEN		11/17/1989 - Testimony on behalf of Citizens who
		remained in Vietnam after the Fall of Saigon;
		Subcommittees on International Economic Policy and
		Trade and Asian and Pacific Affairs; House Committee on
		Foreign Affairs