The original documents are located in Box 6, folder "Intelligence - Colby Testimony (2)" of the Richard B. Cheney Files at the Gerald R. Ford Presidential Library.

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	la. Letter, Albert Hall (OSD) to James Lynn 3pg.	D/18/75	A
	16. memo, Stephen Gardner (Treasury) to James Lynn 16. memo, Stephen Gardner (Treasury) to James Lynn 6 pp. 16. Management of the Foreign Intelligence Comme	12/18/75	B
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General Subject File

Intelligence Community Decision Paper (1)-(4)

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. Memo	E.H. Knocke to Phillip Buchen re Colby testimony before the Senate Select Committee (1 p.)	Undated	A
. Transcr	pt Testimony of William Colby before the Senate	5/23/75	A
	Select Committee to Study Governmental Operation with Respect to Intelligence Activities (117 pp	ns	
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General Subject File

Intelligence - Colby Testimony before the Senate Select Committee (1)-(2

RESTRICTION CODE

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left by then.

May I reconstruct because much of my understanding is based on my analysis of what happened and not on clear facts.

The FBI approached Colonel Edwards when their investigation and incident in Las Vegas eventually produced his name, and his conversations, I think, were with the General Counsel at that time. I cannot reconstruct beyond that so I really can't give you an answer to the specific question. But the initiative came from the FBI. Colonel Edwards and Mr. Houston discussed it. Mr. Houston, I have been told, believes that the Director must have known.

Mr. Schwarz. Which Director are we talking about?

Mr. Breckinridge. Whoever the Director was at that time?

Mr. Colby. By that time.

Mr. Schwarz. Of what agency?

Mr. Colby. CIA. That would have been McCone.

Mr. Breckinridge. He operated on the assumption that Mr. McCone knew.

Mr. Schwarz. Knew what?

Mr. Breckinridge. Knew that they were going to brief the Attorney General.

Mr. Schwarz. About?

Mr. Breckinridge. The record on this is very unsatisfactory and I can't clarify it for you because we have been trying to find out when Mr. McCone first learned of it after the fact.

as it was.

When people discussed this with one another, what they conveyed to one another accurately as to what it was they were discussing, the record is simply not clear and I don't think that we can reconstruct that satisfactorily today.

Mr. Schwarz. Is Mr. Edwards still alive?

Mr. Breckinridge. Yes, he is.

Mr. Schwarz. Mr. Houston is still alive and is in Washington, D.C.?

Mr. Breckinridge. Yes, sir.

Mr. Schwarz. Mr. McCone is still alive and is in --

Mr. Colby. .California.

Mr. Schwarz. Was Mr. Helms the successor to Mr. Bissel?

Mr. Colby. Yes, sir.

Mr. Schwarz. Well, let's leave a place in the record where we will insert it was either Mr. McCone or Mr. Bissel who had the job of Deputy Director for Plans in May, 1962.

Mr. Colby. It certainly wasn't Mr. McCone.

Mr. Schwarz. Mr. Helms or Mr. Bissel?

Mr. Colby. Yes.

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Chairman Church. You see, the state of the record light now suggests a cover-up, another cover-up. It suggests that those who were selected by the higher authority in CIA to brief the Attorney General had knowledge limited to an attempt on the life of Castro that had been concluded.

It further suggests that they had no knowledge of continuing efforts then underway, even though presumably their superiors did have such knowledge. This leaves the Attorney General in a position of being told about the past program and not being told about the present program, and we know that he later said to certain assistants of his that he had put a stop to assassination attempts on Fidel Castro and he may have been left with the impression, as a result of this meeting, that there were no further attempts. He may have said he wanted no further attempts. We don't know. But clearly he was not told that there were further attempts then underway.

Senator Morgan. Were we told yesterday or the day before yesterday that he knew of the Cuban majors' operation?

Mr. Schwarz. We were not told that.

Mr. Breckinridge. I cannot clarify the question completely but I can introduce a little bit more.

When the discussion occurred Mr. Houston did go and talk with General Carter, who was then the Deputy Director -

Mr. Schwarz. Which discussion are we talking about now?

Mr. Breckinrilge. The discussion about going to the Attorniy

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General.

To go back, how the question arose, and it goes far afield,

John Roselli, who was the Chicago member of the Mafia who

arranged the introduction of Giancana to the Cuban syndicate

head, through whom they initially tried to run this operation -
Giancana had a personal love affair with a well know entertainer

who was then in Las Vegas, and she was suspected by him of then

having an affair with another well known entertainer.

Giancana went to Robert Maheu, through whom these introductions were first made --

Mr. Schwarz. Introduced to the Mafia personnel?
Mr. Breckinridge. Yes.

Mr. Colby. Between CIA and the Mafia.

Mr. Breckinridge. And asked Maheu to mrange to have the room of the male entertainer in Las Vegas bugged. They hired a private detective who then did this. The roommate stumbled on the equipment, the private detective was arrested, and the FBI investigative trail led from the private detective to Maheu to Giancana and eventually to CIA. Giancana had insisted that Maheu help him because he had helped Maheu with the introduction to the head of the Cuban syndicate, and Maheu had then agreed to get a private detective to do it.

So this arose in the context of a domestic violation of wiretapping laws and the FBI was investigating it on that basis.

Because the trail led to Giancana and Roselli, who was a

third member of the syndicate involved in this, both of whom 1 were high on the FBI list, as they progressed towards developing 2 a prosecution, they came to Colonel Edwards, the FBI did, and 3 said what is your position on this. The position of the Agency 4 as stated by Colonel Edwards was we did not want them prosecuted 5 because it would expose what he understood to be this operation, 6 and I quess the time sequence gets a little fuzzy in my mind. 7 8 9

Mr. Schwarz. Did he tell the Director of the FBI the nature of the operation, or simply he told him it would concern some sensitive matter?

Mr. Breckinridge. He told him it was an intelligence operation in country, and the Mafia was being used for that purpose. So in the discussions as it progressed, it dealt with the wiretap prosecution. Colonel Edwards in discussing it with us in 1967, according to our notes, said that they briefed the Attorney General all the way, but at that time Colonel Edwards, I think by the time they did it, Colonel Edwards thought it was over. So the Attorney General and the FBI were not told the complete story.

Mr. Schwarz. They weren't told the most important part of the story, and that was that it was continuing.

Mr. Breckinridge. They didn't know that, that is correct. Chairman Church. So you have here two violations of law. Apart from what was told to the Attorney General, you have two violations of law. One, the wiretap, it was an illegal operation

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We may have three. Second, it is certainly an activity that the basic law prohibited CIA from engaging in, domestic activity.

Mr. Colby. CIA was not involved in the wiretap.

Chairman Church. As I understand it, the CIA was involved because it engaged a private --

Mr. Breckinridge. I am sorry.

Chairman Church. Maybe I misunderstood.

Mr. Breckinridge. We didn't learn about this until after the FBI found out about the Mafia involvement in that wiretap.

This was done by a private detective hired by a private businessman at the request of Giancana.

Mr. Schwarz. CIA agents had committed the crime, in this case, but not on behalf of the CIA.

Mr. Breckinridge. No, no.

Mr. Schwarz. Isn't that accurate?

Mr. Breckinridge. No.

Mr. Colby. I wouldn't call Giancana an agent, getting back to our definitional problems.

Mr. Schwarz. CIA associates.

Mr. Colby. CIA instrument. We had a contact with Giancana, obviously, and with Maheu. They decided on their own to do this wiretapping, or bugging, or whatever it was, without our prior knowledge. They got caught. Then they said if this is fully investigated and brought out, it will bring out the CIA collaboration on the other subject, and then decision was made

to go and brief the Attorney General to the degree briefed. Chairman Church. After which the Justice Department dropped the case? Mr. Colby. It was dropped. 16.

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Senator Hart of Colorado. Was the CIA not concerned that Giancana may have told something about this Cuban operation to the female entertainer who also may have told the male entertainer?

Mr. Brackinridge. No. If I understand what happened, and I am now describing what Mr. Maheu said, and I get this from having read some files at the Department of Justice recently -- when Mr. Maheu tried to explain to the FBI his position and tried to provide a rationale for doing this, he said I thought there was a leak in Chicago and I wanted to have the room of this entertainer bugged so I could find out if Giancana had leaked.

The FBI gave this the kind of credit I think it was entitled to. They didn't pay much attention to it. This was something he tried to develop to justify his involvement.

There is no indication that there were any leaks from Chicago that we know of, something that he would know of, and there was no instruction on our part for him to do such a thing, and it was for another reason Giancana asked him to do it.

Senator Hart of Colorado. As far as you can tell from the record, the CIA was not concerned that Giancana and his female friend, or her male friend, may have blown the Cuban operation?

Mr. Brackinridge. No.

Senator Hart of Colorado. It was purely who was sleeping with whom?

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Mr. Breckinridge. I think that is still the case.

I would like to add one further thing. That prior to going over to brief the Attorney General, the general counsel did go speak to General Carter. General Carter said that he understood --

Mr. Colby. General Carter was Deputy Director of the Agency at that time.

Mr. Breckinridge. -- he understood the situation and in due time might brief the Director. We don't know that he did brief the Director, who was then Mr. McCone. Our notes written in 1967 say there is no indication that General Carter was briefed on the full details of the assassination plot. So while he did approve their going and briefing the Attorney General about this association with the Mafia, there is no indication that he was told the full story then either.

Mr. Schwarz. Then in August of 1963, the document that you provided for us last time, Mr. Helms did know about the ongoing operation; is that correct?

Mr. Breckinridge. I think by then he knew that the operation was going.

Mr. Schwarz. He sent to Director McCone the memorandum which had been prepared concerning the meeting with Attorney General Kennedy, which indicated that the operation was completed. So the inference from that paper record is that Mr. Helms was concealing affirmatively from the Director of Central Intelligence

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in the same way as somebody had concealed from the Attorney General the fact that the plot was ongoing.

Is that a fair characterization?

Mr. Colby. I don't know.

Mr. Brackinridge. Could I see the memo?

Chairman Church. The memo uses verbs in the past tense.

Mr. Schwarz. The cover memo from Helms to McCone transmitting a copy of the memorandum concerning the May, 1962 meeting with Attorney General Kennedy.

Mr. Brackinridge. May I say first I don't know. I just call your attention to the third paragraph.

Mr. Schwarz. Of the memorandum from Director Helms?
Mr. Breckinridge. Yes. He was then D.D.

Mr. Colby. The basic memorandum, of course, merely referred to a sensitive CIA operation and then in Mr. Helms in the paragraph says I assume you are aware of the nature of the operation discussed in the attachment.

Again, this was an attempt not to refer on paper to what it was.

Mr. Schwarz. But the attachment refers to it in the past tense.

Mr. Colby. I agree.

Senator Morgan. Who is this memorandum from?

Mr. Colby. The memovanium we are discussing now of In-August 1963 was to the Director from Mr. Helms. It attaches

a copy of the briefing of the Attorney General in Hay, 1962.

Senator Morgan. Mr. Brackinridge, the transcript or report that you were reading from a few moments ago, who prepared that?

Mr. Breckinridge. This was prepared by another inspector and me in 1967.

Senator Morgan. When you say there is no indication that he knew, that is just an assumption on your part, that you don't have any positive evidence?

Mr. Brackinridge. That is correct.

Senator Morgan. The truth is you sort of suspect they all knew what was going on. Don't you think that is true?

Mr. Brackinridge. No, not necessarily.

When we conducted our investigation we interviewed the people directly involved in the operation, those that were available, and tried to reconstruct what happened with them.

We interviewed different people. I did not interview Mr. Bissel. I did interview Mr. Harvey.

We submitted this report to then-Director Helms, one copy only. We did not interview him.

Where his name appeared in the course of our inquiry we put it in our report so that he would see how the record appeared to people who approached it as we did.

The extent of the knowledge of these different people is not easy to reconstruct nor fair to assume.

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I can't answer your question.

Senator Morgan. In other words, if you didn't have evidence that they did know, you just assumed that -- you didn't assume that they didn't know, you just said there is no evidence?

Mr. Breckinridge. That is correct.

Senator Schweicker. Let me ask the question another way.

The documentation you collected and what you do have positively, who was the highest ranking official, according to your records, who knew?

Chairman Church. According to the positive documents that you have of knowledge.

Mr. Breckinridge. First, let me say we did not have documentation. It was based mostly on interviews, this period involving the Mafia.

. The highest person that we understood that knew during the first phase with Mr. Bissel. That we clearly did know about it. There was discussion at one point in which Mr. Bissel, Colonel Edwards, the two of them, met with Mr. Dulles and had a conversation in which I can best say there was doubletalk as to what they were discussing.

It is open to question how clearly this was stated to Mr. Dulles and whether or not Mr. Dulles understood.

At the time that we were making the inquiry it was our opinion that Mr. Dullas probably knew, but I can't put it becond THE CONTRACTOR OF THE PARTY.

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that.

Senator Schweicker. He left the Agency in 1961, as I recall.

When was your investigation?

Mr. Breckinridge. Our investigation was in 1967.

And our discussion with Colonel Edwards, who described this meeting when it occurred in 1960, left us with the impression while they had met with Mr. Dulles, that the conversation was not in precise terms. As Colonel Edwards said to us, we didn't use any bad words, which meant they didn't use the word "assassination," but they discussed an operation against Castro in such terms that he felt that they had conveyed the message to Mr. Dulles. But there is room for doubt.

Senator Schweicker. Once Mr. Dulles left the Agency, who then became the highest ranking person to know, according to your investigation?

Mr. Breckinridge. If Mr. Dulles did in fact understand, he would be the highest person at this point.

Senator Schweicker. At this point. But he left the Agency in 1961. Five years of assassination attempts went one after that.

You conducted an investigation in 1967. What did that period show as to who was the highest ranking person who knew?

Mr. Breckinridge. Mr. McCone did not know until much latir until after it was all over.

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Mr. Colby. He knew in 1963.

Mr. Breckinridge. About a past event.

Mr. Colby. There was still activities going on.

Hr. Breckinridge. That is right.

Chairman Church. Can we get the answer first?

Mr. Brackinridge. Excuse me. I can't give you a more precise answer because sometimes the record that we were able to reconstruct is not precise and in some instances not at all clear. We were left with some uncertainty.

Senator Schweicker. Wouldn't that be the key issue of your whole investigation, and you mean to say you can't tell ma?

Mr. Breckinridge. Mo, sir.

Senator Schweicker. I am flabbergastad.

Mr. Breckinridge. Let me describe the investigation. We were doing the investigation for Mr. Helms as the Director. Mr. Helms' involvement was something known to him and we submitted our description of what happened to him. We did not interview him in the course of our investigation. We did not interview Mr. McCone. We did interview the other parties who were available to us. But when we gave it to Mr. Helms the assumption was that he could complete the gaps.

In this sense, ordinarily, when we conduct an investigation, a general investigation, we would conclude with recommendations, and in this instance we did . We reconstructed as well as

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we could what happened. And the report went one copy only to Mr. Helms who held it. We had only one discussion. The Inspector General then may have had others. We had only one discussion with him, which was when we gave him the first portion of the report, because we investigated three different assassination plans, as we described them then, and our discussion with him had to do with the approach we had taken, and we said that we simply undertook to reconstruct what had happened as best we could reconstruct it.

So we were reporting to Mr. Helms what we had reconstructed without having interviewed him as such. It was in one copy only, and quite frankly in 1967 we expected that it would have no purpose other than to tell him what the situation was for decisions as he felt appropriate.

Senator Mondale. Now, you say it is not clear whether Dulles knew, but yet there is a memorandum from Osborn tothe Executive Director dated 2/15/72, which states, I quote:

"Individuals who were aware of this project" --That is the Mafia project --

"were Messrs. Dullas, Bissel, Colonel King, Colonel Sheffield, Edwards, William Harvey, James P. O'Connell, and attorneys for Robert Maheu."

Mr. Breckinridge. Mr. Osborn is writing that on the basis of his understanding of what Colonel Edwards had to say. Our discussions with Colonel Edwards left us with this uncertainty

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Colonel Edwards believes that Mr. Dulles understood the conversation. He also said that he engaged in doubletalk so that there was room for misunderstanding.

Mr. Schwarz. I show you a document stamped 0012, headed John Roselli and ask you what it is.

Mr. Breckinridge. This is a memo prepared in the Office of Security describing John Roselli, who is the first of the members of the syndicate who was used to arrange the introductions to the head of the syndicate in Cuba.

Mr. Schwarz. And written when?

Mr. Breckinridge. I am not sure. I suspect it was written in 1973 but I would have to check.

Mr. Schwarz. Does it refer to a Castro assassination att=mpt involving the Mafia?

Mr. Breckinridge. Does the word "assassination" appear? I think it refers to the Mafia.

Mr. Schwarz. Do you have any doubt, in fact, this is referring to the assassination effort involving Maheu, Roselli, Trafficante, in connection with Castro?

Mr. Brackinridge. That is correct.

Mr. Schwarz. And does this document prepared by the Office of Security state "the DCI was briefed and gave his approval"?

Mr. Breckinridge. It does.

Mr. Schwarz. And who was the DCI in August, 1960?

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Mr. Breckinridge. That was Mr. Dulles.

Mr. Schwarz. Now, therefore, either Mr. Dulles did approve, which the document says, or somebody wrote a document in connection with the investigation which irresponsibly says
Mr. Dulles approved. Which is the case?

Mr. Breckinridge. I don't think that either is necessarily the case.

The understanding of the Office of Security is that Mr. Dulles does know or did know. The question that was raised in the course of our inquiry was that the nature of the meeting as described to us raised a question of doubt. That we did not resolve.

Chairman Church. I think if we accept your testimony that there was at least an element of doubt as to whether or not Mr. Dullas knew of the connection between the CIA and the Mafia, and its purpose, to assassinate Mr. Castro, let's get back to Senator Schweicker's question. After Mr. Dullas left several more plots were formed involving the CIA and having to do with subsequent attempts on the life of Castro.

Now, what does your investigation show and what can you tell us with respect to the highest officials in the CIA, following the departure of Mr. Dulles, who may have had knowledge of these ongoing operations?

Mr. Brackinridge. When the operation was renewed I think it is clear that the Director at that time did not know.

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Senator Schweicker. And who was the Director at that time?

Chairman Church. When was the operation renewed?

Mr. Breckinridge. The operation was actually reactivated in April of 1962. It was planned to be reactivated prior to that but the employee who had responsibility for it did not get free until April of '62 when he actually reactivated it. There is no indication that anyone above the level of Mr. Bissel in 1961, at the time that this was discussed, there is no indication that anyone above his level knew that it was to be reactivated. This officer, Mr. Harvey, then took it over and attempted to run it again through the balance of 1962, after April.

Mr. Schwarz. He did that at the instruction of Mr. Helms, did he not?

Mr. Breckinridge. Mr. Helms became aware of this at a later point.

The confusion, as parhaps we discussed the other day, Mr. Helms signed off on a project that was originally initiated by Mr. Bissel called ZR Rifle, and ZR Rifle was a projectivet Mr. Bissel was quoted as saying was at the urgency of the White House. It was made no more specific than that. We don't know where in the White House.

ZR Rifle was described as an executive action capability, a capability to permit assassinations if someone called for

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them.

Mr. Harvey was given the responsibility of this by Mr. Bissel and originally it continued as a separate action from the Castro operation, but Mr. Harvey was also given that responsibility, and for all intents and purposes they became wedded.

Mr. Helms was the person who formally approved ZR Rifle as a project. ZR Rifle had a dual purpose that has not been clarified by our inquiry. It apparently was in fact used for the stated purposes, as far as organizational activities went along, which was to steal codes, and Mr. Helms, when he approved the project, authorized accounting on certification, which meant that rather than the usual vouchers supporting expenditures, that the officer involved would simply certify that the money had been spent and this would be accepted. It had a project amount of some dollars, \$7500 - \$10,000 -- some of it to include the salary of an agent, some to include operating expenses.

So Mr. Helms' main appearance in the approval of ZR Rifle does not necessarily equate with the date when he became familiar with the Castro operation.

Mr. Schwarz. Bacause of the dual purpose of Rifle, Rifle means both, rifle files and used rifles?

Mr. Breckinridge. It may mean either or both.

Mr. Colby. It is supposed to disassociate but sometimes

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there is that kind of association.

Mr. Breckinridge. I told you that I would look for when Mr. Helms' name first clearly appeared and I haven't done that. I was out of town yesterday.

Mr. Schwarz. Do you have the memo of February 1962 when Mr. Helms, according to Mr. Greer, Inspector General, "authorized William Harvey by memo to handle the project on a special basis"?

Mr. Breckinridge. Do I have a copy of that memorandum?
Mr. Schwarz. Yes.

Mr. Brackinridge. It is in the files and we can ratrieve it.

Chairman Church. Mr. Bissel was the Deputy Director of Plans. The record would indicate that he knew of the reactivation of the operation to assassinate Castro in April of 1962, and Mr. Harvey, I take it, was assigned that duty?

Mr. Breckinridge. If I may go back, it was in the fall of 1961.

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Mr. Schwarz. November 1961?

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Mr. Breckinridge. I think that is probably correct. It was in the fall of 1961 that Mr. Harvey was given this assignment by Mr. Bissel. I think Mr. Bissel left in 1962. I am not sure of the dates, So Mr. Bissel would have known at that time.

But I am not sure after that.

Chairman Church. Helms succeeded Mr. Bissel as chief of operations?

Mr. Colby. Deputy Director of Plans.

Chairman Church. Deputy Director for Plans.

Your record shows that Helms had knowledge of the ZR Rifle operation, which, among other things, was to develop an executive capability -- whatever that means. Presumably that means a capability to conduct an assassination if and when authorized by proper authority?

Mr. Breckinridge. That is my understanding.

Chairman Church. Is that your understanding?

Mr. Breckinridge. Yes, sir.

Chairman Church. Do your records show that, or is that based upon what has been revealed through your interrogation?

Mr. Breckinridge. First, let me go back and clarify, then
I will answer this question, if I may.

Mr. Helms approved ZR Rifle, which the record showshas the responsibility of stealing codes, essentially.

My understanding, from my interviews, is that ZR Rifle had

also the responsibility for executive action capability. That is a difficult phrase.

The record does not show that Mr. Helms knew when he approved the project that it had this dual role. So from that point of view, I have a record that shows what the project was supposed to have been as far as its open description, though classified, and the result of our interviews with Mr. Harvey which tell the other story about executive action capability. So it does not, the record does not show that Mr. Helms at that time knew what that was.

Chairman Church. If Harvey knew that it had this other aspect, who would have told him to develop such a capability, if it were not his superior?

Mr. Breckinridge. I think that is a logical conclusion, but I was distinguishing what the record showed and what our interviews developed.

Chairman Church. Didn't you ask Harvey in interviewing him whether or not Helms had told him to develop this capability as a part of the ZR Rifle?

Didn't you ask him who told him to develop this capability, or did he do it on his own?

Mr. Breckinridge. Your question is a very proper one, and my difficulty is with the record which goes back to what Bissel instructed Harvey to do.

Mr. Schwarz. Before Helms took the job, Bissel told Harvey

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to take charge of the Castro assassination project?

Mr. Breckinridge. Bissel told Harvey to create the executive action capability.

Mr. Schwarz. But he also told him to take charge of the Castro assassination project using the Mafia; isn't that correct?

Mr. Breckinridge. Now, there is no record except the record that came from our interviews on this score. All I am trying to do is draw the distinction between what the record shows and what our interviews developed, and there are gaps in that.

Chairman Church. Your interviews show that Mr. Bissel, who preceded Helms, told Mr. Harvey to develop an executive action capability, which was a capability to assassinate if and when ordered; right?

Mr. Breckinridge. That is correct.

Chairman Church. And your records show that Mr. Bissel also instructed Harvey to take over the Castro assassination attempt, which was connected with a continuing relationship between the CIA and the Mafia?

Mr. Breckinridge. I think that is a correct assumption, yes.

Chairman Church. Now, when do your records show that Helms became aware: of Harvey's whole assignment as given him by Bissel?

Mr. Breckinridge. I don't have the accurate date. We have the 16 August 1963 memorandum. I promised Mr. Schwarz that I would try and find the date, and I was out of town yesterday and simply didn't do it. But I have to go through the record to find the earliest date that we have, and I simply have to go into the record to dig this out.

Senator Schweiker. Do your records show the date of when the actual latest assassination attempt was finished?

Mr. Schwarz. For Castro?

Senator Schweiker. Yes. When was that timeframe, roughly?

Mr. Breckinridge. You are talking about the Mafia connection

Senator Schweiker. No: I am talking about when did we finally cease and desist in attempting to assassinate Castro, from your records?

Mr. Breckinridge. Let me, if I may, and I don't mean to confuse the issue -- the Mafia connection from beginning to end was an attempt to assassinate Castro. The operation was called off finally in the Spring, perhaps February, of 1963.

Senator Hart of Michigan. What year?

Mr. Breckinridge. 1963.

Mr. Schwarz. That is the Mafia part?

Mr. Breckinridge. Yes, sir. The Cuballa Association has a different origin.

Chairman Church. First, who called off the Mafia operation.
Mr. Breckinridge. As near as I could tell, Harvey.

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Chairman Church. Harvey?

Mr: Breckinridge. Harvey. Harvey decided that it couldn't succeed. Harvey was leaving the country for a foreign assignment, and in conversation with Roselli — Roselli was the man who was in the Mafia through whom the Agency had continued to work — and Roselli agreed that nothing was happening, nothing could happen, and it should be called off. We don't have a record that Harvey went anywhere for approval. This doesn't mean that he may not have discussed it, but I don't have the record of it.

So Harvey in his conversation with Roselli, terminated the operation.

Senator Hart of Colorado. The memo which we saw at the last session, which I don't know we officially have, Mr. Colby made it available to us -- was a wrap-up of the whole thing, or a memo to the files, and I can't recall now who did it.

Mr. Schwarz. That is the one referring to the meeting with Robert Kennedy that we spent some time looking at.

Senator Hart of Colorado. That was the Sheffield-Edwards memo which say with the collapse of the Bay of Pigs invasion operation, no further attempt was made with the Mafia. It tied it directly to the Bay of Pigs.

Mr. Colby. That was the first phase. There was a second phase that followed with the Mafia.

Chairman Church. We now know from what you have said that larvey called off the Mafia connection, and we don't know whether

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that was done with the knowledge or authority of anyone more high-

Mr. Breckinridge. I don't know.

Chairman Church. All right, after Harvey left the country, who was then assigned to undertake the subsequent efforts against the life of Castro?

Mr. Breckinridge. This brings us to Senator Schweiker's question. A man by the name of Orlando Cubella, who had been met in Mexico City by the Agency in 1961, who had been one of the leaders of the anti-Batista groups and held the rank of Major in the Cuban army, that is the highest rank they have, Cubella was an M.D. and had been a youth leader and attended youth conferences around the world, and was met first in Mexico City, and later he was met in Scandinavia on several occasions. And the conversations during these various meetings had to do with Cubella's desire to have a change in Cuba, and the attempt on the Agency people who met with him to explore his ability to substitute a government as well as be able to overthrow the existing government.

These conversations were very general, exploratory, and non-productive.

In one of the early meetings with Cubella, he talked about eliminating Castro, and the Agency employee used the word assassination, which was reported back as being an offensive word to Cubella, and instructions were issued that Cubella was

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not to be given a mission of elimination of Castro or anyone else.

He was met in October of 1963, at which time he made the proposal that he wished to have weapons with which to commit an assassination, and this proposal was rejected.

He continued to speak in these terms, and finally, I cannot give you the level of approval -- that might be at the level of Mr. Fitzgerald -- and I don't recall which job Mr. Fitzgerald had then, whether he headed the Cuban Task Force or whether he had become --

Mr. Knoche. He replaced Harvey.

Mr. Schwarz. He did meet with this man and gave him a weapon or poison pen with which to kill Castro?

Mr. Breckinridge. Fitzgerald did not give him the pen.

Mr. Schwarz. But discussed the subject?

Mr. Breckinridge. I was considering the question of level of authorization, and I don't recall. I would assume Mr. Fitzgerald was the highest level of authorization that I know of.

Chairman Church. And Fitzgerald's position at that time?

Mr. Breckinridge. I was questioning whether he had -- he
had three jobs in fairly quick succession. Whether he replaced
Harvey as head of the Cuban Task Force, then he became Chief of
WHM, the Western Hemisphere Division, and then he became for
a short time Deputy Director of Plans. But he died of a heart

attack. I don't know whether he had at that time -- it would be in October of 1963.

Mr. Colby. I think at that time he was either head of the Cuban force or Chief of the Western Hemisphere Division.

Chairman Church. Will you get that for the record?
Mr. Colby. Yes, sir.

Mr. Breckinridge. The decision was taken to try and give Cubella some instrument. A ball point pen was designed that could be used as a syringe. Because Cubella was a doctor, the pen was given to him in Paris on the 22nd of November, 1963, with the suggestion that he use it.

Chairman Church. By whom was the pen given?

Mr. Breckinridge. It was given by a case officer of the Agency. In fact, Cubella refused to take it. When we wrote our report, we thought he accepted it, but I've since learned he refused it. He said it was not practical.

Because he was a doctor it was suggested that he could acquire the material to put in the pen, but he refused to accept it.

Conversations continued, and finally the Agency arranged to put down two caches at sea off the coast of Cuba, one of which had weapons in it, one of which had just general equipment.

Senator Schweiker. Could either of those involve the story that appeared in the press under Colonel Prouty's statement?

Mr. Breckinridge. No, sir.

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Senator Schweiker. Did that come later, Colonel Prouty?

Mr. Breckinridge. We still don't know what Colonel Prouty's statement refers to.

Mr. Knoche. Prouty's allegations go back to 1959.

Senator Schweiker. What?

Mr. Knoche. 1959, late 1959 and early 1960.

Mr. Breckinridge. We arranged to have a Cuban refugee leader meet Cubella in Spain, at which time he gave him an additional weapon. That would have been in February of 1964, I think.

Chairman Church. What kind of weapon was given to him?

Mr. Breckinridge. It is my recollection it was a rifle.

I am not sure of that.

Cubella continued to have conversations and meetings from time to time as he came out of Cuba, and finally in the middle of 1965 the decision was taken to cut off contact with him because there were serious questions about the security of his organization.

He was arrested, I think. I think I have gotten my dates right. It is subject to correction. He was arrested in the early part of 1966 and tried, made a confession, had a public trial, which was reported in the press.

I wonder if I might check those dates?
Chairman Church. Surely.

While you check those dates, perhaps Mr. . Colby could



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explain how it was during this period that Cubella was able to return to Cuba and travel to Europe.

I assume he was able to return to Cuba?

Mr. Colby. He was a Cuban officer at that time, and apparently loyal to Castro. He would go out on various trips and things like that, and we would meet him there.

Mr. Schwarz. He was the man who met with Mr. Hunt in Madrid?

Mr. Colby. He met with a friend of Mr. Hunt. A Cuban exila met Cubella in Madrid. That is when the weapons were handed over.

Mr. Schwarz. Was Mr. Hunt in Madrid at that time? Mr. Colby. Yes.

Mr. Breckinridge. No, because this man by the name of Artimo, met Cubella in Madrid in December of 1964, and in February 1965 he made the delivery of the weapons. Hunt was not assigned there until the middle of 1965, after this was over. We don't know where that story came from.

Mr. Colby. Hunt and Artimo are very close, and he is the godfather of one of their children, and things like that. may have told him about it.

During this period you have described, Chairman Church. when these various contacts were made with Cubella, who was the highest officer within the CIA who had knowledge of these contacts and who authorized them to be made, as far as your

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investigation shows?

Mr. Breckinridge. I don't know the level at which the contacts were known. I would assume that the continuing contacts were known at a high level, but I have to assume that, and this goes to a point when Senator Schweiker was asking the question at the last time. This is the last instance that we know of in which assassination was involved.

Senator Schweiker. That is, both your records and your interviews indicate that this was the last known activity, according to your investigation?

Mr. Breckinridge. That was specifically related to Castro.

Senator Schweiker. And that date was when?

Mr. Breckinridge. Well, our contact with the Cubella group was broken off in June of 1965.

Senator Schweiker. June of when?

Mr. Breckinridge. 1965.

I don't want to confuse you, but I would like to introduce a consideration that relates to this.

Chairman Church. Before you do that, I have asked what your investigation shows is the highest officer who knew and under whose authority these continuing contacts were made, and you said you assumed it must have been known to higher authority.

Mr. Breckinridge. I said that about the contacts. About the contacts, I assumed this was known fairly high in the Agency.

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Chairman Church. The contacts and their purpose?

Mr. Breckinridge. This is a distinction I want to make.

The people who were involved, and this is difficult -but their statement of state of mind, and your interpretation,
may be different things. But the people who were involved
considered that the purpose of the association with Cubella was
he was a man who was high in the Castro government, he was a
man who represented a dissatisfied element, he had people who
agreed with him, and the hope was that this could be developed
into a group in Cuba that in some way could replace the government.

The conversations with Cubella were really not productive, and Cubella wanted demonstration of support, tangible support, and the conversations progressed to the point that he wanted equipment with which he could commit and assassination.

In the minds of the people who dealt with him, the question of assassination was not uppermost, so much as it was to give him a material demonstration of support.

I don't mean to be trite, but the United States or the Agency has in the past provided weapons of one kind or another to people, paramilitary. In the minds of these people, however credible to you this distinction is drawn, so assassination became a consideration, but assassination had not been the objective.

I am sorry, one more point.

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The point at which they began to provide weapons to him should have required higher level approval. I don't know what the level of approval was.

Mr. Schwarz. It should have under the normal procedures? Mr. Breckinridge. Yes, sir.

Chairman Church. At one point a meeting took place and an agent of the CIA gave him a ballpoint pen that had been specially designed to camouflage a syringe, and gave it to him with the recommendation that it would be filled with poison and used to kill Castro.

Are you saying that the agent may have done this without the knowledge of the Director or the Deputy Director for Plans, someone high in the Agency?

Mr. Breckinridge. No; I am saying there should have been authorization. I don't know the level of that authorization. I merely tried to draw a distinction because it is a question raised in my mind, it was raised by Senator Schweiker's question -- when was the last attempt of assassination?

This developed to the point where assassination was involved, and this was the last such interest that we know of.

Senator Schweiker. Are you saying after the incident that Senator Church just asked you about, at that point the emphasis shifted from assassinating him to replacing him?

Is that what you are trying to tell us, or did I misconstruct that, too?

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Mr. Breckinridge. No; I am confusing the issue by trying to describe the state of mind of the people who were involved in the operation.

Chairman Church. We don't even know who were involved. You tell us that.

Mr. Breckinridge. As far as I know, Mr. Fitzgerald would be the highest level that I know was aware of this.

Chairman Church. What was his position? We are back to that.

Mr. Colby. Chief of the Western Hemisphere Division.

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Senator Morgan. Is he dead?

Mr. Colby. Yes, sir.

Mr. Breckinridge. The problem that you are having, which is one that we have, is reconstructing certain aspects of this, quite frankly, and we have attempted to reconstruct it by the record, where the record supports it by interviews, where the interviews can fill gaps, and it was of some interest to try to get from the people involved their rationale. The rationale is difficult, complex, and I have tried to convey that because it was brought to my mind by Senator Schweicker's question.

Mr. Colby: If I could help interpret this from a cultural pattern.

I think the point is that we located this major, he looked like a very promising contact within Cuba who could possibly replace Castro or bring about his disposition, and so forth, just remove him without any specifics.

In the course of the conversations, the 1963 meeting with the ballpoint pen took place. We don't have any clear record as to who authorized that particular aspect of the relationship concerning the ballpoint pen. The fact that we then went on with him until '64 and '65 with the offers of weapons fits into the general support of that effort and doesn't necessarily coincide with the deliberate attempts to assassinate him, although it could, and our records don't clearly indicate one

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way or the other on that.

Chairman Church. A cache of weapons delivered on the coast of Cuba hardly constitutes an arsenal by which it reasonable could be assumed that the government can be overthrown. It does, however, fit into a scheme of providing the major and his cohorts with a capability of assassinating the Cuban leader.

Mr. Colby. It also coincides with the possibility of the first step toward additional arms.

I am not denying it, Mr. Chairman. I am saying that the records don't clearly indicate it, and this gets back to Mr. Schwarz's original question to me -- can you define "assassination"?

I would respectfully request that I don't get into that because there is a question as to when you are supporting armed action and when you are committing an assassination.

Chairman Church. All right. During this whole period we understand that the Director of the CIA, who was Mr. McCone, had Said he had no knowledge of these ongoing activities.

Mr. Colby. I would imagine that his testimony that he did not know of an assassination would be consistent with my comment as to the weapons being given in support of armed action but not the specific assassination plans. I don't know that but I am saying that is a possible explanation of the difference between those stories.

Chairman Church. We will have to question Mr. McCone much

more closely on that point.

But you are unable now to tell us in connection with these later activities whether or not there was specific knowledge of their connection with the assassination purpose, above the level of Mr. Fitzgerald?

Mr. Brackinridge. At this moment I cannot.

Senator Morgan. May I pursue a line of questions for just a few minutes?

First of all, Mr. Schwarz, this document, Exhibit A, who prepared it?

This is the original rough draft that was prepared only by the typists?

Mr. Schwarz. That is the Ken Greer document.

Mr. Colby. Prepared by one of Mr. Breckinridge's

associates, Mr. Kenneth Greer.

Senator Morgan. What was the purpose of the preparation of this document?

Mr. Colby. It followed the 9th of May, 1973 directive by Mr. Schlesinger for a report on all questionable activities. These were gathered together by Mr. Greer and the other members of the Inspector General's office and a summary of them was provided to me to use to brief the Chairman of the two committees.

Senator Morgan. This was the best information that the Agency was able to obtain at the time?

Mr. Colby. That was the information available to the

Inspector General at the time. I think I would say it was able.

I don't think we instituted an aggressive, positive investigation

We rather collected what we had available.

Senator Morgan. Well, there have been assassination attempts or efforts on the part of the government. There's no question in my mind. The question I want to pursue is that in the document marked here Exhibit A, project ZR Rifle, it stated that early in the Kennedy Administration, "the White House urged Richard Bissel to create an executive action capability."

Now, that was the primary purpose of ZR Rifle; is that your understanding?

Mr. Colby. It was one of the purposes of the ZR Rifle project.

Senator Morgan. And it was done at the instigation of "the "White House"?

Mr. Colby. We have one record which states that Mr. Bissel made this statement without further precision.

Senator Morgan. And Where is Mr. Bissel?

Mr. Colby. Mr. Bissel, I think, lives in Connecticut now.

Senator Morgan. Now later on we find that this project continued on under a man by the name of Bill Harvey, did it not?

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Mr. Colby. Yes, sir.

Senator Morgan. And then on June 27, 1973, he wrote a memorandum to the Chief FI staff.

What does FI staff mean?

Mr. Colby. A foreign intelligence staff.

Senator Morgan. Stating that the original justification for employing Q.J. Winn no longer existed and raised the quastion of his termination?

Mr. Colby. '63 was it?

Senator Morgan. June of 1963. He was terminated in April of 1964?

Mr. Colby. Yes.

Senator Morgan. Now there is no doubt in your mind but what this project was known by the Director of the CIA?

Mr. Colby. Approved by the Director. I don't think we can say for sure.

Senator Morgan. Mr. Colby, isn't it a reasonable assumption that if a project of this magnitude was to carry out the assassination, it would not be created without the approval of the Director of the CIA?

Mr. Colby. It better not be created without my approval now, I will guarantee you.

Senator Morgan. It certainly is not reasonable to assume that even in 1961 it would have been so created?

Mr. Colby. I would think that the general idea. Whether TOPO CHAPT

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the specific project or not but the general idea is so significant, and that is the indication that Mr. Dulles did know of this act at the time. Whether it was specifically brought to the attention of his successor I could not actually testify one way or the other.

Senator Morgan. Mr. Colby, can you make any more sensitive a project at the CIA than one of assassination?

Mr. Colby. When Mr. Schlesinger came in I made sure he was aware of anything I knew.

Senator Morgan. And this being true, aren't you satisfied in your own mind that all your directors were awars of this project ZR Rifls until such time as it was terminated?

Mr. Colby. That is a conclusion, Senator. I can't give testimony on that.

Senator Morgan. It is a conclusion, but you are an expert.

Mr. Colby. Right.

- Senator Morgan. You are the Director of the CIA and you have had the experience and knowledge of the history of the CIA.

Let me ask you, based upon your history, the history and the knowledge of the CIA that you know of, have as Director, and you have gained as Director, aren't you satisfied that every director knew of this project?

Mr. Colby. In the face of the statement by Mr. McCone that

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he did not know of it, I find that statement difficult to challenge.

I agree with you, he should have known about it, but I could not say for sure that statement is not accurate.

Senator Morgan. One final question. Mr. McCone left the Agency when?

Mr. Colby. In 1965.

Senator Morgan. I won't ask any other questions except for the record I would like to say this so I can remember why I was pursuing this later on.

I asked these questions not to try to show the assassination plan, because I think that has already been established, and if that is all we are after we can move on to something else.

But the thing that does concern me is that if this project continued on until and past the time that President Kennedy was assassinated, then it raises a question in my mind as to whether or not Castro himself may not well have known about it and Whether or not the President's assassination may not have been a part of a recrimination plan and it may just open the door to whether or not later on we should decide to look into that.

That is the reason I have followed that line of questions.

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Chairman Church. Senator Hart.

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Senator Hart of Colorado. Mr. Colby, in connection with ZR Rifle, I think you testified or your associate said this was a multi-purpose operation, including stealing codes, and the development of the capability of what euphemistically is called executive action.

In your professional judgment, and with your history of your background in the Agency, does it make any sense to you that a major operation, a major intelligence gathering operation of the sort of stealing codes, which I assume means from other governments, would be mounted under the direction of a man about whom all I know is that Mr. Harvey has a background of something like a soldier of fortune, and funded at the level, I should say, of \$7500 to \$10,000?

Does it not suggest to you that stealing codes was in fact an internal cover for what was explicitly an assassination operation with unlimited, non-voucher financial capability?

Mr. Colby. No, not that it is purely a cover.

In the first place, Mr. Harvey had a very successful tour in Berlin where he ran the Berlin tunnel operation, which was one of our major successful intelligence operations.

Mr. Harvey was a very colorful figure who had his lifestyle, which is different than some others, but he had been very effective at that, and very adventuresome in that category of signals intelligence and a very wnturesome idea of that nature.

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He was in the assignment of Chief of the FI Division staff.

That staff's major function is to conduct the signals intelligence work which must be done in the clandestine service.

It handles our efforts today to penetrate into foreign.

code rooms abroad, and things of that nature, and it involves

the breaking into of safes and things, all that complicated

material.

Now, the thing that puts it together with this "capability" executive action, is the high degree of compartmentation of this material, that kind of a project. In other words, a code-breaking, a stealing operation, obviously that is to be kept very, very secret. If you get caught in it, it has all sorts of very bad diplomatic implications, and so forth. Therefore, there is a system of very sharp compartmentation of the work of that particular group.

You also are dealing with some very venturesome people who are willing to crawl into somebody else's code room and take the chance of getting caught.

So, given you have a man who had some good technical experience, who is a venturesome type himself and is running a program which is not too far off the requirements of high compartmentation, and a very high risk kind of operation, and therefore, for Mr. Bissel to have selected Harvey to carry out this project seems to me that it is compatible that he could be running code-breaking projects, or code stealing projects,

as well as this other activity.

Now, in the lifestyle at the time, not mentioning the second one, this gets back into the recordkeeping that we have been discussing. It was not to be put on the record although to be done. That is a perfectly proper hypothesis, it seems to me, but to say that the code stealing was only a cover I think is probably an unwarranted conclusion.

Senator Hart of Colorado. What about the level of funding of \$7,500 to \$10,000?

Mr. Colby. That is not very much money for that kind of an operation.

Senator Hart of Colorado. Precisely. I think it is too little.

Mr. Colby. No. For a capability of that nature, it is largely a staff operation. In other words, you use your own staff officers. You may hire an agent or something, but I don't think you can draw much conclusion from the actual number on the figure one way or the other.

This might not have been the only such project. You know, an assassination project would cost you more money than that, too.

Senator Hart of Colorado. Absolutely.

Mr. Colby. I find it hard to say that that is the only amount of money involved. I think you get into a situation where additional money would be put into either of those kinds

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of activities. I wouldn't get hung up on the bureaucratic aspect of the number of dollars.

Senator Hart of Colorado. So Mr. Harvey, if the decision were made to try to liquidate a foreign leader, and Mr. Harvey found the instrumentality, the individual to do that, and that required \$100,000, all he had to do was come back in and say?

Mr. Colby. He could get it.

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Mr. Schwarz. I think we should have the record reflect two things. The two gentlemen talking are not eyewitnesses to what we have been talking about.

Second, and related to that, for us to pursue this matter, we must have, and must have right away, the better evidence which is in the possession of the CIA, which is at least the Inspector General report of 1967; and more importantly than that, the interview notes reflecting whatever interviews you had with whomever you interviewed at that time or later.

Mr. Colby. These we will make available to you to the extent we have them.

Mr. Breckinridge. We retained no notes. We kept only the one report that we submitted to Mr. Helms at that time, the one copy. When he left the Agency he returned it to us.

Now, the files on the Cubella affair and the Trujing affair exist, and they are available.

Mr. Schwarz. Can we have it understood that, Mr. Breckinridge, you will as you did with the initial material,

come down sometime early next week and we can go over it and
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so forth?

Mr. Colby. Sure. If we can just have the same sort of normal ground rules about the papers.

Chairman Church. Sure. They always apply unless -- Mr. Colby. I know.

Chairman Church. -- unless we say differently.

Mr. Schwarz. No, just following up one more thing on Senator Hart's question of some time ago.

Would you determine with respect to five different periods of time whether, first, there was any internal evaluation within the Central Intelligence Agency as to whether or not the assassination attempts should continue and, second, whether there was any discussions with persons outside of the Central Intelligence Agency as to whether or not the plot should continue and the period of time would be the initial authorization, which was March 1960, after the Bay of Pigs, during the missile crisis. And, parenthetically there, will you state whether it was possible Castro might have been assassinated pursuant to an ongoing plan during a period of time when the United States and Russia were close to a nuclear confrontation. The next case is John F.Kennedy's assassination.

Finally, at the time of the break-off in June of 1965, which I believe was Mr. Breckinridge's testimony, was anybody in higher authority told that the operation had been broken off?

1 Because that will bear upon whether anybody in higher authority 2 had authorized or requested the operation. 3 Mr. Colby. Yes, fine. 4 Mr. Schwarz. Can I pick up then on another subject which 5 we just barely touched on last time, Mr. Colby? 6. This is related to the question I asked you about the use 7 of criminal elements. 8 Senator Mathias. Before we leave this, I have one question. 9 Chairman Church. Senator Mathias. 10 Senator Mathias. In light of the fact this poison pen was 11 actually proffered on the 22nd of November, 1963, is there a 12 scintilla of evidence -- and was proffered to a Major in the 13 Cuban Army on active duty -- is there a scintilla of evidence 14 that anyone in the Cuban Government had any knowledge or suspicion 15 prior to that date that this was a project that was planned? 16 Mr. Colby. There is certainly no evidence that anyone 17 had that information with respect to the Cubella operation. 18 Mr. Breckinridge. No. Mr. Colby. The second phase of the Mafia operation had been 19 20 wrapped up by then, I think. Mr. Breckinridge. Yes. 21 Mr. Colby. The individual in Cuba involved in it had 22 take asylum, I think. 23 Mr. Breckinridge. No, the sequence is a little different. 24 Mr. Colby. A little later: 25

Mr. Breckinridge. Yes. There were people who reportedly were ent into Cuba, a team of three people -- and I said reportedly because we were working then through a Cuban exile leader.

They had the assignment of recruiting additional people to carry out such plans as might be developed. We never knew what happened to those people -- whether they came back -- only that they were sent in.

But if you are looking for a possibility of knowledge of an assassination plot, conceivably those three people could be the source of it, but not that the United States Government was behind it.

Senator Mathias. But it is conceivable that Castro and his government could have come upon some evidence that some plot was afoot?

Mr. Colby. He certainly could have cross allegations, and you really must assume that various of the other kind, even independent activities, or the first phase of the Mafia program could have produced allegations of attempts against him with the comment that CIA or the United States was behind it. The possibility of that kind of allegation is just almost infinite.

Senator Mathias. Particularly considering the nature of the instruments that were being deployed?

Mr. Colby. Yes, sir. .

Mr. Breckinridge. There is another consideration.

Chairman Church. You really haven't told us on the record

until just now, in response to Senator Mathias, just what the second phase of the Mafia connection for assassination Castro was. We really haven't had the detail except as you gave it to Senator Mathias.

I wonder if you could complete the record on this second phase. Give us all the information that you know about that.

Mr. Breckinridge. Before I do, one additional consideration.

The Cuban exile community in Miami was engaged in a great

deal of plotting of its own and a great deal of hyperbole, and

it is safe to assume that Castro also had reports of assassination

schemes or threats from that group. So there is one more source

to that sort of thing.

Senator Morgan. Where is Cubella now? Is he still in prison?

Mr. Breckinridge. In jail on the Isle of Pines.

Senator Morgan. Are we sure of that?

Mr. Breckinridge. I asked somebody the other day and they said that is where they think he is.

Senator Morgan. I just wondered whose agent he really was.

Mr. Colby. He has been in jail for a long time if he was Castro's.

Senator Morgan. You testified he was able to go in and out of Cuba fairly frequently.

Mr. Colby. We was an associate of Castro's, there is no question about that, but he had an independent relation with us that finally got picked up. I think that is important, Mr. Chairman, that we try to keep his name out of any published documents for that reason. There is no use getting him in more trouble.

Senator Schweicker. That is for sure.

Chairman Church. There is no argument on that score.

Would you please furnish us with all of the details as to the second phase of the Mafia connection?

Mr. Breckinridge. We will give you more accurate datail when we give you the report, but I will recits now the best that I can recall.

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Chairman Church. Before you do, would you just explain exactly what the relationship between CIA and Giancana and Maheu was because that is not clear in my mind.

Would you develop for the Committee that whole relationship? Mr. Breckinridge. Robert Maheu used to work for the FBI and he resigned from the FBI and opened a private investigative firm here in Washington and CIA used him from time to time for certain kinds of support activities.

Chairman Church. What kind of support activities? Mr. Breckinridge. On one occasion he obtained a female companion for an important foreign leader.

Chairman Church. What other kind of support?

Mr. Brackinridge. In the early days -- and this becomes an embarrassment. As nearly as I can reconstruct, the people who knew him decided to put him on a retainer in the Office of Security, which they did for awhile, until he was so successful he said he no longer needed the retainer, which he didn't do much to earn. He later got involved representing one of the Greek shipping interests and we were given the results of some of the information that he obtained from that where there was a fight between Niarchos and Onassis. think he may have had some involvement in another foreign leader's companionship when he was in this country. I don't have the details. I think we can probably reconstruct it for you.

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Chairman Church. Can we have the file on him?

. Mr. Breckinridge. I think we will provide one on that. We have one, yes. '

Chairman Church. Very well.

Mr. Breckinridge. When Maheu left Washington and moved out west, I think first to Los Angeles, later to Las Vegas, where he became associated with the Hughes organization, and when the question arose about getting an introduction to the Mafia, because they did have gambling interests in Cuba at that time, the Office of Security, Colonel Edwards was asked if he could establish a contact, and he went to Maheu, or had someone go to Mahau, to see if Mahau could make such an introduction.

Maheu knew a man by the name of Johnny Roselli, who lived in Los Angeles, who had the concession for the idemaking machines on the strip in Las Vegas, and he arranged, Roselli arranged an introduction to -- the CIA actually arranged, our man didn't meet Giancana, I don't believe, arranged an introduction, but Giancana who in turn arranged an introduction to a man by the name of Santos Trafficante. .

Trafficante was the head of the Cuban gambling interests and Castro had allowed them. First he had closed them, then he reopened them hoping to attract tourists. And Trafficante travelled to and from Cuba.

Giancana served only as the introduction to Trafficante.

This was all done through Roselli.

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Our people never -- they all dealt with Roselli, who in turn deal with the others.

Roselli was in essence a cut-out or served as the middle man. Roselli was never paid for his services.

Giancana clearly knew eventually the government association. Roselli came to know of it, though initially he was introduced to the CIA person as a man who worked for Mahau on an assignment by a private client.

So initially the cover story was Maheu's private client who had an interest inside Cuba. Giancana never really was involved subsequently.

He did arrange -- it was Trafficante from them on -- and Trafficante had a source inside Cuba who had an office, had a position in the Office of the Prime Minister. He was believed to have access to Castro and a poison pill was developed that he was to insert into Castro's food. The pills were sent in to this man. What we did not know at the time was the man had lost his job and lost his access and the pills were returned

Mr. Colby. That is the first phase.

Senator Schweicker. Would you date that?

Mr. Breckinridge. That would go into the early part of 1961, March-April, 1961.

Mr. Schwarz. The pills were given as part of the first phase to a second person in Cuba; is that correct?

Mr. Breckinridge. Just before the first phase ended, Roselli, through Trafficante, was introduced to another Cuban exile leader in Miami by the name of Anthony Varona. Again, his name is a sensitive matter.

Varona was the leader of one of the groups that was being supported by the CIA as part of the preparation for the Bay of Pigs.

Varona was unhappy with his association, with the association with the CIA. He felt he had not been given enough money.

Varona had previous contact with the criminal element in Cuba and he was approached by Roselli with Trafficante's introduction, to take on this mission.

Roselli's story was that he represented some private client who had interest in Cuba. The Roselli identity was apparent, as was Trafficante's.

Varona said he knew someone who was in a restaurant that Castro frequented and then the pills were transported again.

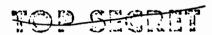
TMr. Schwarz. Could you state for the record who made the pills?

Mr. Breckinridge. The pills were made in what was then the Technical Services Division of the CIA.

Mr. Schwarz. Had they ever made such pills before?

Mr. Brackinridge. Not that I know of.

Mr. Schwarz. Mad they ever used Botulinum as a poison in any way before?



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Mr. Breckinridge. On one previous occasion Botulinum had been considered for use on cigars that someone had hoped to get to Castro, and eventually that never got off the ground. This was a scheme that was never approved and never went forward. I know of that one instance in which it was considered.

Botulinum was made into pills and these pills were taken again to Cuba. Castro stopped going to the restaurant where this man was. The Bay of Pigs occurred and the operation was called off.

When Harvey took over and then reactivated the second phase in April of 1962, they went back to Varona, and one of the strange things is that Varona started off with the repetition of the original restaurant approach, which I think caused some question as to the gap between what we were told and what was happening.

Varona after this didn't materialize. Varona then stated that he had sent three men into Cuba whose job was to recruit additional people for such plan as may develop. He later planned to send in three more people. We don't believe they ever went. The missile crisis intervened. There were a number of delays and we don't think they ever did go in.

The first three men, if in fact they did go in -- we don't know who they were, we don't know what they did, we don't know whather they came out or not.

As a matter of interest, the man who was to go back --

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Chairman Church. It is possible they went in and could have been captured by Castro?

Mr. Breckinridge. It is possible.

The question that Senator Morgan asked earlier, what they could have known -- these men we don't believe could have known the actual backers of the operation, because Varona was dealing with a member of the syndicate with whom he had previous connections. The people who dealt with Varona felt that Roselli was discreet and careful.

But those men could have been captured. They could have supplied the interpretation that they might not have known.

Mothing came of the operation, it was called off, but essentially that is the first and second phase.

Chairman Church. These three men were to be sent from --Mr. Brackinridge. They were Cuban exiles.

Chairman Church. To be sent in from the United States? Mr. Colby. From the United States.

_Chairman Church. And why is it you don't know whether or not they were sent?

Mr. Breckinridge. The question exists in my mind. am not sure it exists in other people's minds.

As I reviewed the record, when we got the record back, in preparation for these inquiries, I was struck by the fact that Varona had told us at the very end of the first phase that he was using this restaurant, and when a year later the phase

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was reactivated, the same story was used and already had been proven not to be so.

Later he talked in general terms about the three men and I began to develop some reservation in my mind as to whether or not Varona had in fact told us the truth.

That is purely speculation on my part.

Mr. Colby. This is a problem we live with in the intelligence business. When you are not able to be in direct contact, for good reasons you are subject to fabrication, particularly if there is some money involved.

Chairman Church. Did the CIA, in connection with phase one or phase two, pay any of these agents for their services?

Mr. Breckinridge. In phase one I think we paid expense money. In phase two we gave money to Varona.

Mr. Schwarz. And to Roselli?

Mr. Brackinridge. Wa paid expanse money for Rosalli.

Mr. Schwarz. You paid him money to buy guns?

"Mr. Brackinridge. I was going to tall the gun story.

Varona wanted money and guns and some of the equipment that he specified could be obtained only from the United States military. And the equipment that was acquired at that time did not include that kind of equipment. It included equipment that could be obtained from other sources and excluded U.S. military equipment. It was provided through the assistance of the Miami station. A U-Maul truck was picked up and delivered

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to a point. The Varona people came and got the truck and drove it away and unloaded it and returned it and then the truck was returned. There were several thousand dollars involved in that. I forget the exact amount. \$10,000 or \$15,000 in cash and arms -- that is the total amount.

Chairman Church. Where did Varona live during this time?

Mr. Breckinridge. He was in Miami. The last I heard he
is now an automobile salesman in New York or some such place.

Mr. Schwarz. What is his first name?

Mr. Brackinridge. Anthony.

Chairman Church. Was he also a Cuban exile?

Mr. Brackinridga. Yas, sir.

Chairman Church. Had he Mafia connections?

Mr. Brackinridge. The only connection that we know is that in later reporting there were indications that he had associations with criminals who had used him for objectives of their own.

Mr. Schwarz. Kickbacks?

Mr. Brackinridge. But the nature of his association is not clear.

Mr. Schwarz. Isn't there a document in here that suggests it was to pay kickbacks to some official of the Cuban government in connection with gambling operations?

Mr. Breckinridge. I don't recall that now.

Mr. Schwarz. There is a document suggesting that by someon

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- Mr. Breckinridge. The first man who had the job in the Office of the Prime Minister, this man did get kickbacks from the criminals. I think that must be the one you remember. He took refuge in the Venezuelan embassy and stayed there until 1964. He finally was given safe conduct to pass out. The Mexican embassy took over from Venezuela. Cuba broke diplomatic relations. He continued to be a political refugee in that embassy until the fall of 1964.

Chairman Church. What was Varona's motive for participating in the plot?

Mr. Breckinridge. Varona was one of the exile leaders who wanted to overthrow Castro. He wanted more financing than he had. He would cooperate with anyone.

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2 we had.

Mr. Schwarz. I would like to pick up on the discussion had.

Chairman Church. Mr. Schwarz has five minutes more, and we will conclude, and I would like to ask the Committee co stay on for ten more minutes for an executive session.

Mr. Schwarz. In the discussion we had on the use of criminal elements, we have dealt with the Castro operation.

In the middle of the book supplied to us by Mr. Breckinridge earlier this week, there is a discussion about the Lumumba operation, and the Agent Q. J. Win.

Now, is it a fact that Q. J. Win was the agent, or asset, however you would refer to him, who apparently was considered for the effort to assassinate Lumumba, which never took place; is that right?

Mr. Colby. Yes, sir.

Mr. Schwarz. Now, is it accurate that Q. J. Win operated in Europe trying to spot and assess individuals whom the Agency could use in safecracking operations?

Mr. Colby. This is what is reported, and it is quite possible.

Mr. Breckinridge. The record indicates that.

Mr. Schwarz. And is it further so that one of the CIA officers who first met Q. J. Win in Luxembourg, was on a trip overseas to find safecrackers and document suppliers?

Mr. Colby. Yes, that is what it says.

Mr. Colby. Yes, sir.

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Mr. Schwarz. Now, just as a matter of historical record, is the OSS the predecessor of the CIA in some sense?

Mr. Schwarz. Is it correct that "Lucky" Luciano was used

to help the OSS in connection with operations in Italy during the Second World War?

Mr. Colby. I don't know, but I have heard that story It is an extensive story but I don't know the facts.

Mr. Schwarz. For the record, Mr. Luciano was a Mafia figure who had been deported from the United States, or was in jail in the United States?

Mr. Colby. Was in jail, I guess, and was later deported after the War.

Now, in connection with the instructions which Mr. Schwarz. you issued in 1973 concerning activities outside of the Charter of the CIA, you received, as we went through the other day, a report from the Inspector General leading up to that in May of 1973?

Mr. Colby. That is right.

Mr. Schwarz. And was part of the report that the CIA had itself conducted for local police departments in Washington, Arlington, Fairfax and Alexandria, during the period 1968 and 1969, a series of classes in items which included basics in surveillance, photography, basic audio and countersabotage; and in addition, this is what I particularly call your attention to,

locks and picks and surreptitious entry? 1 2 Mr. Colby. Yes, sir. 3 Mr. Schwarz. I am now reading from pages 225 and 226 of 4 the 694 [ages, which I will mark as Exhibit No. 6. 5 (The above-mentioned document was 6 marked as Colby Exhibit No. 6 7 for identification.) 8 Mr. Schwarz. Is it the fact that, for example, between October 7 and October 18, 1968, the CIA conducted a course for 9 the Washington Police Department in the subject of surreptitious 10 entry? 11 Mr. Colby. It was included in that, yes. 12 Mr. Schwarz. What was the purpose of conducting such a 13 course? 14 Mr. Colby. We had a relationship with various police 15 departments around the country. They from time to time would 16 like to learn our knowledge of certain subjects that are quite 17 unique to the CIA. There are a number of things that we have 18 to do in CIA which are quite frankly outside the norm of most 19 other agencies of the Government. 20 Chairman Church. Doesn't surreptitious entry refer to 21 illegal entry? 22 Mr. Colby. Going in secretly, that is what it means. 23 Entering secretly in some fashion or other, yes. 24 Chairman Church. That is an illegal activity for local 25

police, is it not?

Mr. Colby. Presumably, yes.

Chairman Church. Why is CIA conducting courses teaching local police illegal activity, hor to conduct illegal operations?

Mr. Colby. I think at that time, Mr. Chairman, that was at the request of the police department of that area, that they were interested in this thing, and there is a defensive element of it. In order to know how to protect against something, it is well to know how it is actually conducted.

Chairman Church. Do you construe this activity to be within the law, the basic statute which restricts your domestic activities?

Mr. Colby. I don't think it is a violation of the law, Mr. Chairman.

I did give some directive on that in 1973, that we not engage in this anymore, in compliance with the spirit of the amendment to the Law Enforcement Assistance Administration Act, which says that CIA will not provide support to the LEAA in its assistance to local police departments. And as far as I was concerned, that is a policy that they don't want CIA in touch with local police departments.

The fact of sharing our knowledge of techniques with a local police department does not in my mind get us into actually conducting domestic police activity. There is a difference there.

Chairman Church. Yes. But apart from the technical question of your jurisdiction, when you engage in teaching local police departments how to conduct break-ins, surreptitious break-ins, you are teaching them how to break the law.

Mr. Colby. You are teaching them how the law is broken.
Chairman Church. That is right.

Mr. Colby. Which can be useful to them in their knowledge of how the law is broken, the techniques that are feasible.

Chairman Church. Or can be useful to them in knowing how to break the law?

Mr. Colby. It could. It is an item of information that they can use in any way they wish, either properly or improperly.

Mr. Schwarz. Are you aware of any situations where governmental officials, either state, local or Federal, have in fact engaged in break-ins within the United States?

Mr. Colby. I know of certain situations related to foreign intelligence operations where access to appropriate targets has been obtained either through subterfuge of pretending to be a workman or something of that nature, and I think in that history there are some cases of actual break-ins.

Now, I assure you, Mr. Chairman, we have been conducting break-ins abroad. There is no question about that. That is, we train our people to be able to do that.

Chairman Church. That is not the question I am raising with you.

Mr. Colby. I know it.

In other words, these courses, it is my impression, were generalized discussions of techniques. They were not particular handholding on conducting a specific operation.

I think I am accurate in that statement.

Mr. Smothers. Mr. Colby, just a couple of quick questions.

First, with regard to the files, memoranda or diaries you might have of former directors, are those still on Agency premises? Do you have those matters still there that were left by former Directors?

Mr. Colby. Certain ones we do. I don't know of any that we don't have.

As far as I am concerned, they are Agency property, and when I leave I am going to walk out without any paper.

Mr. Smothers. With respect then to the inquiries you have made with regard to information in the files on assassinations, have these inquiries extended to an examination of these files of former Directors?

Mr. Breckinridge. In one or two instances on specific points we have made inquiries. We have not gone through the files in general for that purpose.

Mr. Colby. We have gone through that collection I know of Mr. Helms' files. I don't know of any specific set of files called Mr. McCone's files.

There is an executive registry where my correspondence is

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kept, for instance. - Mr. Smothers. What I am searching for is the situation 3 where the generic classification of materials might somehow 4 have not been complete enough for us to get particular knowledge 5 and I was wondering prior to our next meeting if a search 6 oriented with respect to the issue of knowledge might be a 7 fruitful exercise? 8 9 on this topic? 10 11

Mr. Colby. Knowledge by previous directors of specifics

Mr. Smothers. Yes.

Mr. Colby. I think it is easy for Mr. Helms. I think we have gone through his files. I don't know of any serarate set of files on Mr. Dulles or Mr. McCone. We will look.

Senator Mondale. There is a reference to John McCone's files in one of the memos that I looked at this morning.

Mr. Colby. I will check that, Senator.

Chairman Church. That is a good point, and I am glad you have raised it.

I think we will conclude the hearing at this point with the understanding, Mr. Colby, we will be back in touch with you.

Mr. Colby. Surely. Many times, I think, Mr. Chairman. Chairman Church. Yes.

I think that next week we will not have any formal meetings! of the Committee, owing to the recess, but we will then, I think, we are going to have to go into tri-weekly meetings,

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beginning after the recess, so we can step up the pace for the
investigation.
Senator Mondale. If they could get the Lansdale memo.

I would like to add two things that have come to my attention on the subject of assassinations, both of which are allegations, both of which have been knocked down.

There was an allegation, some man has alleged that he was working or conducting an assassination in Colombia, and we have looked into the specifics of it, and there is absolutely nothing to it. I thought I would mention it. I have the facts here and will include them at some time.

There is another allegation that one of our officers had some knowledge of an attempt against Chou En-Lai, and he has written me a note that points out that it is impossible for that to have happened because he wasn't in the place at the time.

Chairman Church. Since they are allegations into which you have inquired, I think you should make the papers available.

Mr. Colby. I will make sure the staff gets those.

Chairman Church. Thank you very much.

Mr. Knoche. Could I point out, I think we have the answer to the photographs.

There were seven of these photographs taken at different angles at the same time, but absolutely different time intervals, during the time that the police had these men in custody.

Senator Hart of Colorado. Taken by whom? Mr. Knoche. I am not sure. I am not certain for that particular board that you were looking at. With the blowup, they took this one of the seven photographs which gives the best opportunity to look at the face. Mr. Colby. So they were separate photographs. Chairman Church. Yes. Mr. Knoche. I will be glad to leave that with you if you would like to have it for your file. (Whereupon, at 12:30 o'clock plm., the Committee recessed subject to the call of the Chair.)